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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Phoenix, Arizona

<b>Applicant:</b>	Ping Zhou.	<b>Group:</b>	2874
<b>Serial No.:</b>	09/481,627	<b>Examiner:</b>	Not assigned
<b>Filed:</b>	January 12, 2000	<b>Atty Docket No.:</b>	A60-17042-A1 US
<b>For:</b>	FIBER OPTIC HEADER WITH INTEGRATED POWER		

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Box Patent Application  
Assistant Commissioner of Patents  
POB 1450  
Alexandria, VA 22313-1450

Dear Sir:

The following sections are being submitted for this Supplemental Information Disclosure Statement:

1. Preliminary Statements.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (37 C.F.R. § 1.97(h)), or that no other material information exists.

The filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that the references are prior art within the meaning of 35 U.S.C. §§ 102 or 103. Further, any explanation, if provided, is not to be construed as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relevance of any portion of any reference is intended.

The filing of this Supplemental Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

2. Form PTO-1449, List of Prior Art Cited by Applicant, citing 54 U.S. patents and 33 Foreign patents and 12 publications.

3. These references are in addition to those listed in the Information Disclosure Statement and Form PTO 1449 filed with the Patent and Trademark Office on September 28, 2000.

11/05/2003 RADDF01 00000089 011125 09481627

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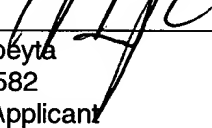
PATENT  
Serial No. 09/481,627  
PAGE 1

5. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor(s) and the information in the attorney's file.

6. The Office is authorized to charge Applicant's Deposit Account 01-1125 for the fee of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of this IDS and for any other fee that may be due.

Telephone: 602.313.3345  
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By:  10/20/03  
Andrew A. Abeyta  
Reg. No. 39,582  
Attorney for Applicant

**CERTIFICATE OF MAILING**

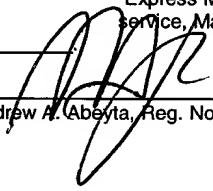
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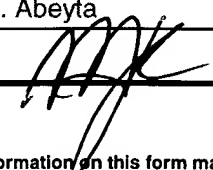
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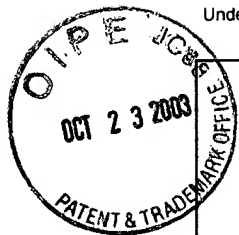
<b>FEE TRANSMITTAL</b> <b>For FY 2003</b> <small>Patent fees are subject to annual revision.</small>		<b>COMPLETE IF KNOWN</b>	
		Application No.	09/481,627
<input type="checkbox"/> Applicant claims small entity status. See 37 /CFR 1.27.		Filing Date	1-12-2000
		First Named Inventor	Ping Zhou
<b>TOTAL AMOUNT OF PAYMENT</b> \$180.000		Group Art Unit	2874
		Examiner Name	Sung H. Pak
		Attorney Docket No.	A60-17042-A1 US

<b>METHOD OF PAYMENT (check all that apply)</b> <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>01-1125</u> Deposit Account Name: <u>Honeywell International Inc.</u> The Commissioner is hereby authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayment <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account	<b>FEE CALCULATION (continued)</b> <b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee \$</th> <th>Fee Code</th> <th>Fee \$</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,250</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Statement</td><td>180.00</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td>1504</td><td>300</td><td>1504</td><td>300</td><td>Publication fee</td><td></td></tr> <tr><td colspan="6">Other fee (specify) _____</td></tr> <tr> <td colspan="4"> <b>SUBTOTAL (1)</b>    \$ - 0 -         </td> <td colspan="2"> <b>SUBTOTAL (3)</b>    \$180.00         </td> </tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee \$	Fee Code	Fee \$	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,250	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - 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<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	Telephone	602-313-3345
Signature				Date	Oct. 20, 2003

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Docket No. H17042 A1 US

Serial No. 09/481,627

Filed January 12, 2000

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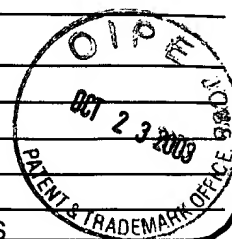
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STATEMENT BY APPLICANT**

(USE AS MANY SHEETS AS NECESSARY)

Sheet	1	Of	2
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**COMPLETE IF KNOWN**

Application Number	09/481,627
Filing Date	1-12-2000
First Named Inventor	Ping Zhou
Art Unit	2874
Examiner Name	Sung H. Pak
Attorney Docket Number	A60 17042-A1 US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US 4092061		5-30-78	Stigliani, Jr.	
		US 4130343		12-19-78	Miller, et al.	
		US 4165496		8-21-79	Di Domenico, Jr. et al.	
		US 4381882		3-3-83	Sabine	
		US 4403273		9-6-83	Nishioka	
		US 4441805		4-10-84	Smith	
		US 4653844		3-31-87	Ward	
		US 4865417		9-12-89	Yamamoto Naohiro, et al.	
		US 4897711		1-30-90	Blonder, et al.	
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		US 5146516		9-8-92	Blumke, et al.	
		US 5163113		11-10-92	Melman	
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		US 5533158		7-2-96	Han, et al.	
		US 5539848		7-23-96	Galloway	
		US 5545893		8-13-96	Brown, et al.	
		US 5574814		11-12-96	Noddings, et al.	

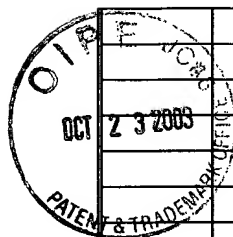
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		EP	0355522	A2	8-3-89	Toda, et al.		X
		EP	0335691		10-4-89	Charles, et al.		
		EP	0413489	A2	8-6-90	Pimpinella, et al.		
		EP	0619507		10-12-94	Henricus, et al.		
		EP	0786838	A2	1-24-97	Holland, et al.		
		DE	19527026		7-24-95	Spaeth et al.		X
		JP	1140791		6-1-89	Hiroshi		
		JP	1227484		9-11-89	Hiroshi		
		JP	1256189		10-12-89	Akihiro		
		JP	2090585		3-30-90	Takeshi		
		JP	2106989		4-19-90	Kazuyoshi		
		JP	2222185		9-4-90	Takuji, et al.		
		JP	4324987		11-13-92	Takaaki		
		JP	5021899		1-29-93	Masashi, et al.		
		JP	5279967		11-10-93	Denko, et al.		
		JP	6209183		7-26-94	Katsumi		
		JP	6216406		8-5-94	Mikio		

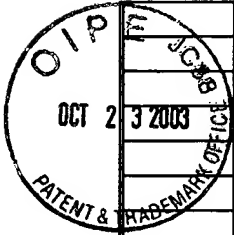
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	JP	8101759	4-24-96	Hironobu, et al.		
	JP	8241900	9-17-96	Kenji, et al.		
	JP	9041951	2-26-97	Makoto, et al.		
	JP	58153388	9-12-83	Kouki		
	JP	59007940	1-21-84	Masataka et al.		
	JP	60086887	5-16-85	Masahiro et al.		
	JP	60180183	9-13-85	Shinji et al.		
	JP	60088486	5-18-85	Masahiro et al.		
	JP	61206284	9-12-86	Yoshio		
	JP	62269374	11-21-87	Kuniyoshi et al.		
	JP	63066985	3-25-88	Kaoru et al.		
	JP	63084184	4-14-88	Noriyuki, et al.		
	JP	63280206	11-17-88	Toshiya		
	JP	63280574	11-7-88	Hiroki		X
	UK	2312551 A	10-29-97	Mayer et al.		
	WO	92/00538	1-9-92	Welbourn et al.		

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Sheet	2	Of	2
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**COMPLETE IF KNOWN**

<b>Application Number</b>	09/481,627
<b>Filing Date</b>	1-12-2000
<b>First Named Inventor</b>	Ping Zhou
<b>Group Art Unit</b>	2874
<b>Examiner Name</b>	Sung H. Pak
<b>Attorney Docket Number</b>	A60 17042-A1 US

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Ali, Akbar, "New Materials and Design Components for Integrated Optics", Technology Report / Fiberoptics, President, Glass & Ceramics Intl., Inc. 2017 Lomita Blvd., Lomita California	
		Cohen, M.S., et al., "Passive Laser-Fiber Alignment by Index Method", 1991 IEEE Photonics Technology Letters, pps. 985-987	
		Faris, Jeff, et al., "DMD packages – Evolution and strategy", TI Technical Journal, July-September 1998, pps. 97-94	
		Kosaka, et al., "Plastic-Based Receptacle-Type VCSEL-Array Modules with One and Two Dimensions Fabricated Using the Self-Alignment Mounting Technique", Electronic Components and Technology Conference, 1997. pps. 382-390.	
		Norton, L.J. et al., "Optobus I: A Production Parallel Fiber Optical Interconnect", Electronic Components and Technology Conference, 1997, pps. 204-209.	
		Plawsky, Joel L., et al., "Photochemically machined, glass ceramic, optical fiber interconnection components", Corning Glass Works, Corning NY 14831, SPIE Vol. 994 Optoelectronic Materials, Devices, Packaging and Interconnects (1988) pps. 101-106	
		Shweky, et al., "A CSP Optoelectronic Package For Imaging And Light Detection Applications", Part of the IS&T/SPIE Conference on Sensors, Cameras, and Applications, pages 63-68, 1999.	
		Spector, Dr. Murray, "Design of a Solid State Laser Hybrid Package", International Journal for Hybrid Microelectronics, Vol. 5, No. 2, November 1982, pps. 172-174.	
		Sullivan, Charles T., et al., "Polymeric Waveguides", IEEE January 1992, pps. 27-31.	
		Teska, Mike, "Fine Line PCB Assembly Leads to New Test Issues", Electronic Packaging & Production, pages 54-56, 1998.	
		Havant, "Flexible Interposing Carrier Scheme for Optical Waveguide", p. 512, Research Disclosure 30344	
		"Optical Connection Circuit", Japanese Abstract publication #63-280206, Vol. 13, Number 98, p. 840, March 8, 1989.	

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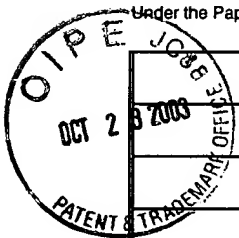
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